

GRANT AGREEMENT
BETWEEN
THE NORWEGIAN MINISTRY OF FOREIGN AFFAIRS
AND
CARITAS NORWAY
REGARDING
QZA-19/0067,
CARITAS NORWAY HUMANITARIAN RESPONSE (2019)

PART I: SPECIFIC CONDITIONS
PART II: GENERAL CONDITIONS
PART III: PROCUREMENT PROVISIONS
ANNEX A: APPLICATION WITH BUDGET
AND RESULTS FRAMEWORK

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PART I: SPECIFIC CONDITIONS

This grant agreement (the Agreement) has been entered into between:

- (1) The Norwegian Ministry of Foreign Affairs (MFA), represented by the Section for Humanitarian Affairs, and
- (2) CARITAS Norway (CN) – a non profit organization, a foundation duly established in Norway under registration number 971 436 514 (the Grant Recipient), jointly referred to as the Parties.

1 SCOPE AND BACKGROUND

- 1.1 The Grant Recipient has submitted an application to MFA dated 21.06.2019 (the Application) regarding financial support to project titled Emergency Food Security For Returnee IDPs in Gogrial State, South Sudan – 2019, QZA-19/0067 (the Project). The estimated costs of the Project are indicated in the budget attached as Annex A to this Agreement.
- 1.2 MFA has decided to award a grant to be used exclusively for the implementation of the Project (the Grant). The Parties expect the Project to be implemented during the period from 1 June 2019 to 31 December 2019 (the Support Period).
- 1.3 The Parties have agreed to enter into an Agreement, consisting of this part I; Specific Conditions, part II; General Conditions, and part III; Procurement Provisions, all of which form an integral part of this Agreement. In the event of discrepancies between the Specific Conditions and the General Conditions or Procurement Provisions, the Specific Conditions shall prevail.

2 OBJECTIVES OF THE PROJECT

- 2.1 The expected results of the Project are as follows:

The Project's overall impact is 'Safe and dignified access to food security for returnee IDP's in Gogrial State (Ajugo, Gogrial and Alek in Apuk West) (Impact).

The planned effects for the target group of the Project are to improve food security for returnee IDPs and strengthened protective environment for returnee IDPs (Outcome).

The intended end target group is vulnerable IDPs.

- 2.2 The full results framework is included as Annex B to this Agreement.

3 IMPLEMENTATION OF THE PROJECT

- 3.1 The Project shall be implemented in accordance with the Agreement, including all annexes, and the latest approved Application, including implementation plan and budget.

- 3.2 During the implementation of the Project, the Grant Recipient shall exercise the necessary diligence, efficiency and transparency in line with sound financial management and best practise principles.
- 3.3 The Grant Recipient shall identify, assess and mitigate any relevant risks associated with the implementation of the Project, including the risk of corruption and other financial irregularities, and any potential negative effects that the Project may have on the environment and climate, gender equality and human rights.
- 3.4 The Grant Recipient shall be familiar with UN Security Council Resolution 1325 on women, peace and security (s/res/1325 (2000)), and implement the Project in a way that promotes the intentions of the resolution in the best possible way. A statement on how the intentions of this resolution have been addressed shall be included in the progress reports and final report of the Project.
- 3.5 The Grant Recipient shall identify and seek to reinforce positive effects with respect to environment and climate, gender equality, human rights and the fight against corruption. A statement on how these elements have been implemented and followed up shall be included in the progress reports and final report of the Project.
- 3.6 The Grant Recipient shall ensure that the Project is implemented in respect of international humanitarian law and international human rights law and that the implementation of the Project is guided by and comply with the international humanitarian principles of humanity, neutrality, impartiality and independence.
- 3.7 The MFA shall be informed by the Grant Recipient, in regular dialogue, of security considerations and risk assessments in areas of operation. Such information should include the Grant Recipient's efforts to uphold the humanitarian principles in complex political and security situations in areas of operation. Significant changes in the security and/or political situation and risk assessments must be communicated to the MFA as soon as possible. Any major changes in geographical scope of the Projects must be approved by the MFA.
- 3.8 The MFA underlines the necessity that all possible means are taken by the Grant Recipient to ensure the security of the Projects, including the integrity of the humanitarian principles, compliance with international humanitarian law and the safety of staff.

4 THE GRANT

- 4.1 The Grant shall amount to maximum NOK 3 000 000 (Norwegian Kroner three million).
- 4.2 The Grant shall be used exclusively to finance the actual costs of the implementation of the Project during the Support Period.
- 4.3 The Grant may be used to cover overheads/indirect costs up to a maximum of 5% of the actual costs of the Project.
- 4.4 The Grant Recipient is responsible for obtaining any additional resources which may be required to duly implement the Project.

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- 4.5 The Grant Recipient is authorized to reallocate up to 30% of the agreed budget internally between the Project's budget lines. MFA shall be informed of such changes made in the budget. Other revisions, including transfer of funds granted through addenda, have to be agreed upon in advance in writing.

5 DISBURSEMENT

- 5.1 The Grant shall be disbursed in one instalment upon signing of this agreement based on the financial need of the Project. The disbursement shall include approved Project expenses incurred prior to the signing of this Agreement.
- 5.2 Financial need refers to the budgeted expenditure for the upcoming period, less any funds available to the Project from all other sources during the same period.
- 5.3 The financial need shall be documented through an updated financial statement for the Project and a reference to the latest approved implementation plan and budget.
- 5.4 All disbursements are conditional upon the Grant Recipient's continued compliance with the requirements of the Agreement, including the timely fulfilment of reporting obligations. MFA may withhold disbursements in accordance with article 17 of the General Conditions if it finds that the requirements of the Agreement have not been met.
- 5.5 The Grant Recipient shall have a separate bank account exclusively for grants from MFA. All disbursements will be made to the following bank account:

Name of the account: Caritas Norway Prosjektkonto
Account no.: 8200.01.93468
IBAN no.:
Name and address of the bank:
Swift/BIC code:
Currency of the account: NOK

- 5.6 The Grant Recipient shall immediately acknowledge receipt of the funds in writing. The amount received shall be stated, as well as the date of receipt.

6 REPORTING AND OTHER DOCUMENTATION

- 6.1 The following shall be submitted by the Grant Recipient to MFA:
- a) A **financial report** covering the period from 1 June 2019 to 31 December 2019 shall be submitted to MFA no later than six months after the end date. The financial report shall include the content specified in article 3 of the General Conditions.
 - b) An **audit report** covering the annual financial statements of the Project shall be submitted to MFA no later than six months after the end date. The audit report shall comply with the requirements set out in article 7 of the Specific Conditions and article 5 of the General

Conditions. The management letter (matters for governance attention) shall be attached to the audit report.

- c) A **final narrative report** for the entire Support Period shall be submitted to MFA no later than six months after the end date. The final report shall include the content listed in article 4 of the General Conditions.

6.2 If the Grant Recipient is unable to meet the deadlines set out above, MFA shall be informed immediately.

6.3 All implementation plans, budgets and reports shall be approved in writing by MFA unless otherwise agreed by the Parties.

7 AUDIT

7.1 The annual financial statements of the Project shall be audited in accordance with International Standards of Auditing (ISA) 800 ("Special considerations audits of financial statements prepared in accordance with special purpose frameworks") or ISA 805 ("Special considerations audits of single financial statements and specific elements, accounts or items of a financial statement"). Additional requirements applicable to the auditor and the audit report are included in article 5 of the General Conditions.

7.2 The Grant Recipient is responsible for submitting the audit report to MFA within the deadline indicated in article 6 of the Specific Conditions.

8 FORMAL MEETINGS

8.1 The Parties shall hold formal meetings if/when requested by MFA. Details regarding agenda and procedures will be agreed upon by the Parties

9 REVIEWS AND OTHER FOLLOW-UP MEASURES

9.1 If the Grant Recipient or another interested party initiates a review or evaluation of activities wholly or partly funded by the Grant, MFA shall be informed. The Grant Recipient shall forward a copy of the report of any such review or evaluation to MFA without undue delay.

10 PROCUREMENT

10.1 All procurement under the Project shall be completed in accordance with the Procurement Provisions in Part III of this Agreement.

11 REPAYMENT OF INTEREST AND UNUSED FUNDS

11.1 Interest accrued on the Grant during the course of a year shall be repaid to MFA by 31 January the following year. If the Grant Recipient receives several grants from MFA, the interest on these grants should be repaid in one instalment. The instalment shall be documented by a copy of the annual bank statement for the account.

Handwritten initials:
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11.2 Upon the end of the Support Period or upon termination of this Agreement, any unused funds that total more than NOK 500 shall be repaid to MFA as soon as possible and at the latest within 6 months. The repayment shall include any interest and other financial gain accrued on the Grant and not previously repaid.

11.3 Repayments shall be made to the following bank account:

Name of the account: Utenriksdepartementet/Norwegian Ministry of Foreign Affairs
Account no.: 7694 0512 618
IBAN no.: NO83 7694 0512 618
Name and address of the bank: DNB Bank ASA, 0021 Oslo, Norway
Swift code: DBNBANOKK

11.4 The transaction shall be clearly marked: "Unused funds" or "Interest". The name of the Grant Recipient shall be stated, along with MFA's agreement number and agreement title.

12 SPECIAL PROVISIONS

- a) General Conditions article 14 shall be replaced with the following: "The Grant Recipient shall make Project documentation available to anyone upon request unless disclosure is prohibited by confidentiality obligations and/or if it may be detrimental to the Grant Recipient's legitimate interests. "Project documentation" shall include this Agreement and any contracts, cooperation agreement or other sub-agreements financed by the Grant, the Application and all agreed reports."
- b) Notices If exchange rate fluctuations increase the value of the Grant, the gain shall be treated as disbursed Grant funds and may be used for Project purposes. The corresponding amount, identified in the annual financial statements, shall be subtracted from the first disbursement of the Grant following the receipt of the annual financial statements or repaid at the end of the Support Period, unless otherwise agreed between the Parties.

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- 13.1 All communication to MFA concerning the Agreement shall be directed to the Section for Humanitarian Affairs at the following e-mail address:
Seksjon.for.humanitaere.sporsmal@mfa.no.
- 13.2 All communication to the Grant Recipient concerning the Agreement shall be directed to CARITAS Oslo Office at the following e-mail address: nina.brattvoll@caritas.no with copy to emilie.johns@caritas.no.
- 13.3 MFA's agreement number and agreement title shall be stated in all correspondence regarding this Agreement, including disbursement requests and repayment of unused funds.

14 SIGNATURES

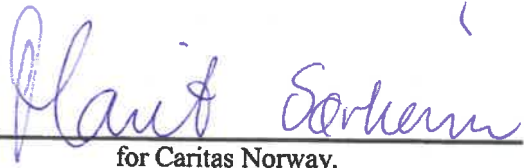
- 14.1 By signing part I of the Agreement, the Parties confirm receipt and approval of part II; General Conditions, and part III; Procurement Provisions, which all form an integral part of the Agreement.
- 14.2 This Agreement has been signed in two -2- original copies in the English language. In the event of any discrepancies between this English language version and any later translations, the English language version shall prevail.

Place: Oslo

Date: 26/6-19



for the Norwegian Ministry of Foreign Affairs,
Berit Tvette
Deputy Director
Section for Humanitarian Affairs



for Caritas Norway,
Marit Sørheim

Head of the International Department

Attachments:

Annex A: Approved budget for the Project
Annex B: Results framework

ANNEX A BUDGET: SOUTH SUDAN 01.06.2019-31.12.2020
Emergency food response - Gogriol State, South Sudan
Exchange rate: 8.75

PROGRAMME COSTS (DIRECT COSTS)	Note	USD - total	NOK - total
Outcome 1: Improved food security for returnee IDPs in Gogriol	1	96 750	846 566
Outcome 2: Distribution of food and NFI, strengthened protection for women		50 924	445 585
Outcome 1 and 2: Transport and storage of goods	2	58 000	507 500
Outcome 1, 2: Implementation of activities	3	36 010	315 088
Total direct costs		241 685	2 114 740
OPERATIONAL COSTS			
Personnel	4	32 005	280 040
Equipment, supplies and office costs	5	12 386	108 382
Transport and logistics/ops. Costs	6	34 247	299 664
M&E - internal	7	1 185	10 371
Audit - external	8	5 022	43 947
TOTAL OPERATIONAL COSTS		84 846	742 403
TOTAL PROJECT COSTS		326 531	2 857 143
CTP admin. costs (2.5% of project budget)		8 163	71 429
CN admin. costs (2.5% of project budget)		8 163	71 429
GRAND TOTAL		342 857	3 000 000

Notes

1. 1.075 HH (6.450 individuals) in total.
2. Transportation of food to distribution points.
3. Humanitarian personnel - direct implementation of activities/Outputs 1.1 - 2.2 - CTP and DMI personnel.
4. Personnel - support staff, finance, admin, logistics, insurance. Caritas Norway: Project management, including coordination, monitoring, reporting, advocacy, communication.
5. Office, warehouse, equipment, supplies, power, generator fuel (part of), maintenance, communication/ICT.
6. Logistics, movement of staff, vehicle running costs, accommodation and subsistence. Caritas Norway: follow-up, coordination, monitoring, capacity building.
7. Monitoring and evaluation - internal. Including project evaluation
8. Project audit fees - CTP/DMI in South Sudan and Caritas Norway in Norway.

ANNEX B: RESULTS FRAMEWORK / GOAL HIERARCHY

Project title.	Humanitarian emergency response			
	Safe and Dignified access to food security for returnee IDPs in Gogrial State, South Sudan			
Organization	Caritas Norway/CAFOD Trocaire in Partnership			
Project dates	01.06.2019 - 31.12.2019			
Logframe date	21.06.2019			
Total numbers: 1.675 HH (10.050 individuals)				
	Indicator	Target	Means of Verification	Assumptions
Outcome 1				
Outcome: Improved food security for returnee IDPs in Gogrial State	Outcome Indicator 1.1: % of surveyed project participants (women groups and men, IDP and Host communities) expressed satisfaction with assistance.	80% men and women	Post distribution monitoring and evaluation	There will be no security threats in the project area and IDPs stay in the project intervention area. Rainfall is sufficient duration to enable agriculture production
	Outcome Indicator 1.2: % of targeted IDP who plant seeds to engage in agriculture	80 % of targeted people	Post distribution monitoring and Evaluation	
	Outcome Indicator 1.3: Families adopt a revolving livestock scheme	40% of targeted households pass livestock offspring to the next household	Post distribution monitoring and Evaluation	
Outcome 2:				
Outcome: Strengthen protective environment for returnee IDPs	Outcome Indicator 2.1: Percentage of IDPs returnees who are engaged in women group Self-help (SHGs) women groups	20% increase in groups active in SHGs	Project and evaluation reports	Existing women group structure continues to be engaged in providing targeted support to IDPs.
	Outcome Indicator 2.2: Percentage of IDPs returnees who are linked into the federation structure for women groups	80% of IDP returnees linked to the women group network (federation structure)	Project and evaluation reports	
	Outcome Indicator 2.3: Existing women group networks are actively engaged in identifying and delivering food security interventions including food assistance for the most vulnerable and selected NFIs.	80% of existing women groups and leaders who are providing targeted support to IDPs.	Project and evaluation reports	

Outcome 1: Outputs				
Output 1.1 Targeted IDPs engaged in agriculture	Output Indicator 1.1.1: No of HH who receive stable seeds (sim sim, millet) and vegetable seeds for agriculture production via existing women groups	700 HH (IDPs)	Distribution lists	There will be willingness among the target households to participate in the agriculture and security allows them to do so
Output 1.2 Target IDPs who receive training in Agronomic practices	Output Indicator 1.2.1: Number of IDPs who receive training and have improved knowledge on agronomic practices	90% of targeted IDPs receive training; 50% of IDPs with improved knowledge	Beneficiary lists and training report	Targeted IDPs are willing to engage
Output 1.3: Number of IDPs receiving livestock (chicken and goats) assistance	Output Indicator 1.3.1: No of livestock (goats and chickens) distributed to vulnerable households	250 goats, 125 pairs of chicken	Post distribution monitoring and Evaluation	
Outcome 2: Outputs				
Output 2.1: Number of IDPs receiving essential NFIs and food assistance	Output Indicator 2.1.1: # of very vulnerable IDPs who receive essential NFIs by Women leaders (from federation system)	500 HH (IDPs)	Post distribution monitoring and Evaluation	
	Output Indicator 2.1.2: # of very vulnerable IDPs who receive food assistance by Women leaders (from federation system)	125 HH (IDPs)		
Output 2.2: Number of new SHGs established or reactivated and linked to the women groups network federation system for protection and representation	Output Indicator 2.2.1: # of SHGs established	80 SHGs	Routine data collection	
	Output Indicator 2.2.2: # of IDPs engaged in SHGs and have started self-help saving	80% of HH		
	Output Indicator 2.2.3: # of SHGs who have appointed leaders to be engaged in the women federation system for protection and representation	80% of HH		